



String Matching Algorithms

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String Matching



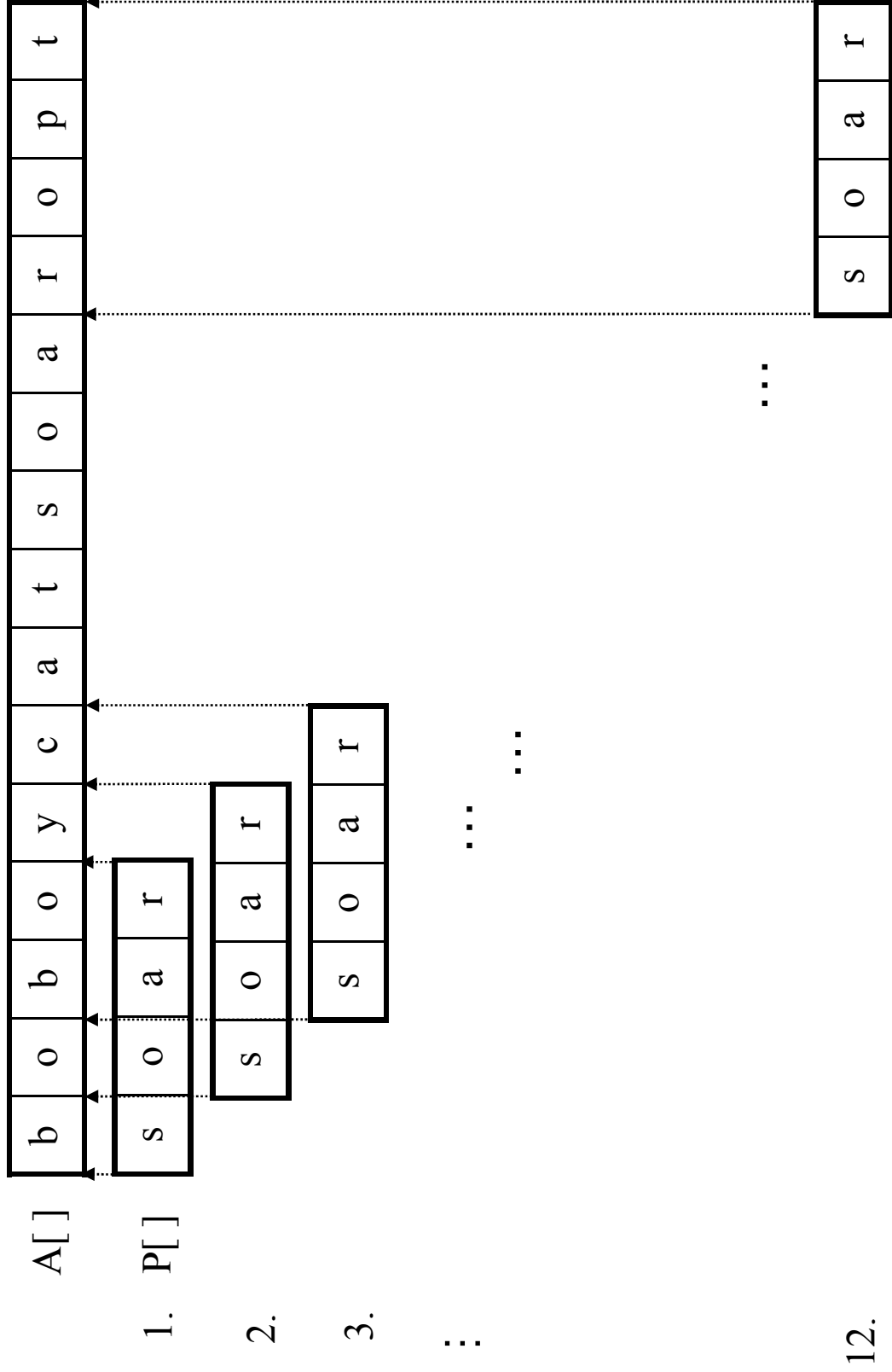
- **Input**
 - $A[1 \dots n]$: Text string
 - $P[1 \dots m]$: Pattern string
 - $m \ll n$
- **Goal**
 - Determine if $A[1 \dots n]$ includes $P[1 \dots m]$

Naive Matching or Stupid Matching

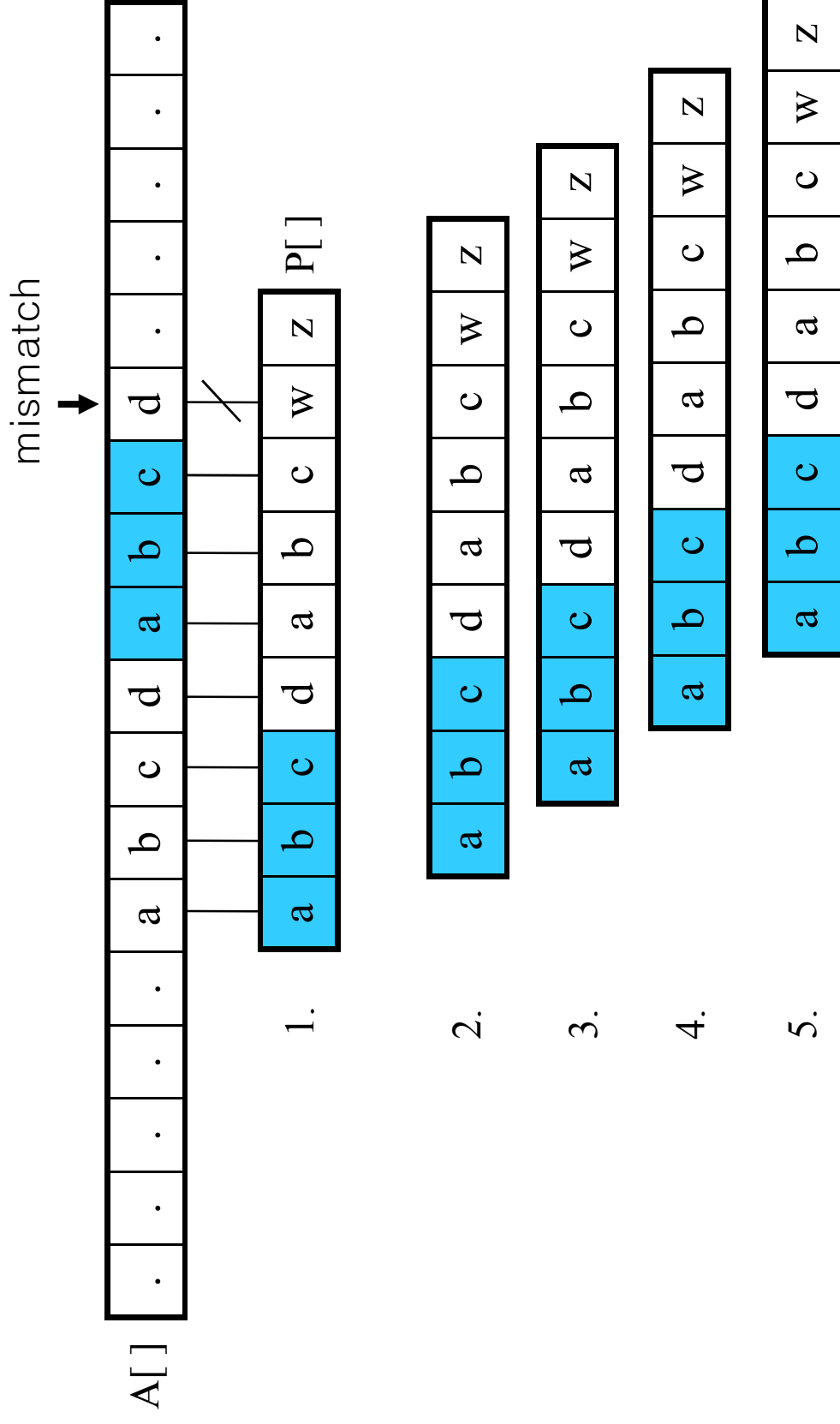
```
naiveMatching(A[], P[])
{
    ▷  $n$ : length of A[],  $m$ : length of P[]
    for  $i \leftarrow 1$  to  $n-m+1$  {
        if (P[1...m] = A[i...i+m-1]) then
            There is a match at A[i];
        }
    }
```

✓ Running time: $O(mn)$

How Naïve Matching Works?



When Naïve Matching is inefficient?



Rabin-Karp Algorithm

- Change a pattern into a number and change a compared portion of a text string into a number
- Now, compare two numbers (instead of comparing two strings)
- String \rightarrow Number
 - ▣ Depends on the cardinality of a character set Σ
 - ▣ Example: $\Sigma = \{a, b, c, d, e\}$
 - $|\Sigma| = 5$
 - Convert a, b, c, d, e into 0, 1, 2, 3, 4
 - String “cad” will be $2*5^2+0*5^1+3*5^0 = 28$

Overhead of the Conversion

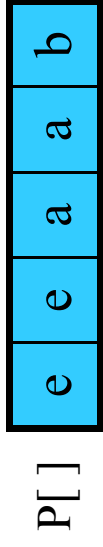
- Conversion time of $A[i \dots i+m-1]$
 - $a_i = A[i+m-1] + d(A[i+m-2] + d(A[i+m-3] + d(\dots + d(A[i]))) \dots)$
 - $\Theta(m)$ time complexity
 - Total matching of $A[1 \dots n]$ takes $\Theta(mn)$
 - No better than naïve matching

- Horner's rule

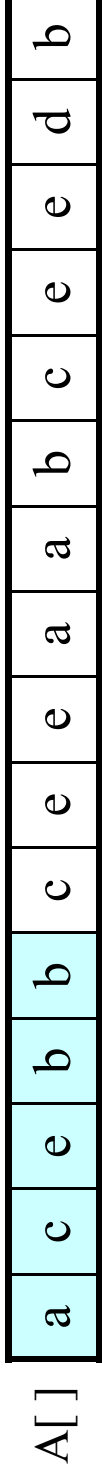
Regardless of m , calculate the number as follows:

- $a_i = d(a_{i-1} - d^{m-1}A[i-1]) + A[i+m-1]$
- We calculate d^{m-1} once
- Two multiplications and two additions

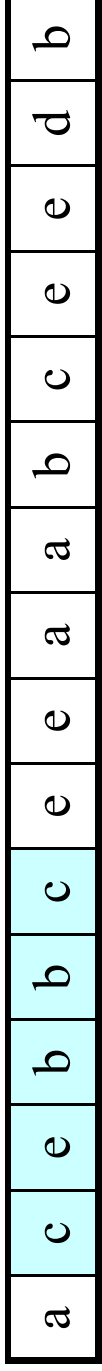
One matching example



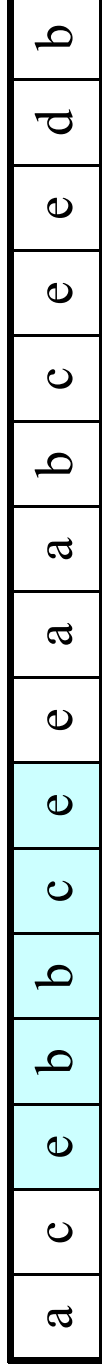
$$p = 4 \cdot 5^4 + 4 \cdot 5^3 + 0 \cdot 5^2 + 0 \cdot 5^1 + 1 = 3001$$



$$a_1 = 0 \cdot 5^4 + 2 \cdot 5^3 + 4 \cdot 5^2 + 1 \cdot 5^1 + 1 = 356$$

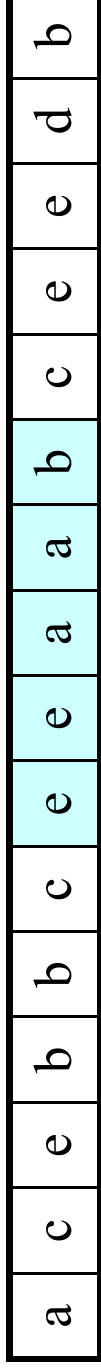


$$a_2 = 5(a_1 - 0 \cdot 5^4) + 2 = 1782$$



$$a_3 = 5(a_2 - 2 \cdot 5^4) + 4 = 2664$$

...



$$a_7 = 5(a_6 - 2 \cdot 5^4) + 1 = 3001$$

...

Basic Rabin Karp Algorithm

```
basicRabinKarp(A, P, d, q)
{
    ▷  $n$ : length of A[ ],  $m$ : length of P[ ]
     $p \leftarrow 0$ ;  $a_1 \leftarrow 0$ ;
    for  $i \leftarrow 1$  to  $m$  {
        ▷ calculate  $a_1$ 
         $p \leftarrow dp + P[i]$ ;
         $a_1 \leftarrow da_1 + A[i]$ ;
    }
    for  $i \leftarrow 1$  to  $n-m+1$  {
        if ( $i \neq 1$ ) then  $a_i \leftarrow d(a_{i-1} - d^{m-1}A[i-1]) + A[i+m-1]$ ;
        if ( $p = a_i$ ) then matched at A[i];
    }
}
```

✓ Total running time: $\Theta(n)$

Problem of basic Rabin Karp Algorithm

- Depending on $|\Sigma|$ and m , a_i can be big
 - bigger than CPU register size
 - and causes overflow
- Solution
 - Limit the size of a_i using modulo operation
 - $a_i = d(a_{i-1} - d^{m-1}A[i-1]) + A[i + m - 1] \rightarrow$
 $b_i = (d(b_{i-1} - (d^{m-1} \bmod q)A[i-1]) + A[i + m - 1]) \bmod q$
 - q is a big prime number, but dq should be fit in a register

Matching using modulo

P[]

e	e	a	a	b
---	---	---	---	---

$$p = (4 \cdot 5^4 + 4 \cdot 5^3 + 0 \cdot 5^2 + 0 \cdot 5^1 + 1) \bmod 113 = 63$$

A[]

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$$a_1 = (0 \cdot 5^4 + 2 \cdot 5^3 + 4 \cdot 5^2 + 1 \cdot 5^1 + 1) \bmod 113 = 17$$

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$$a_2 = (5(a_1 - 0 \cdot (5^4 \bmod 113)) + 2) \bmod 113 = 87$$

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$$a_3 = (5(a_2 - 2 \cdot (5^4 \bmod 113)) + 4) \bmod 113 = 65$$

...

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$$a_7 = (5(a_6 - 2 \cdot (5^4 \bmod 113)) + 1) \bmod 113 = 63$$

...

Rabin-Karp Algorithm

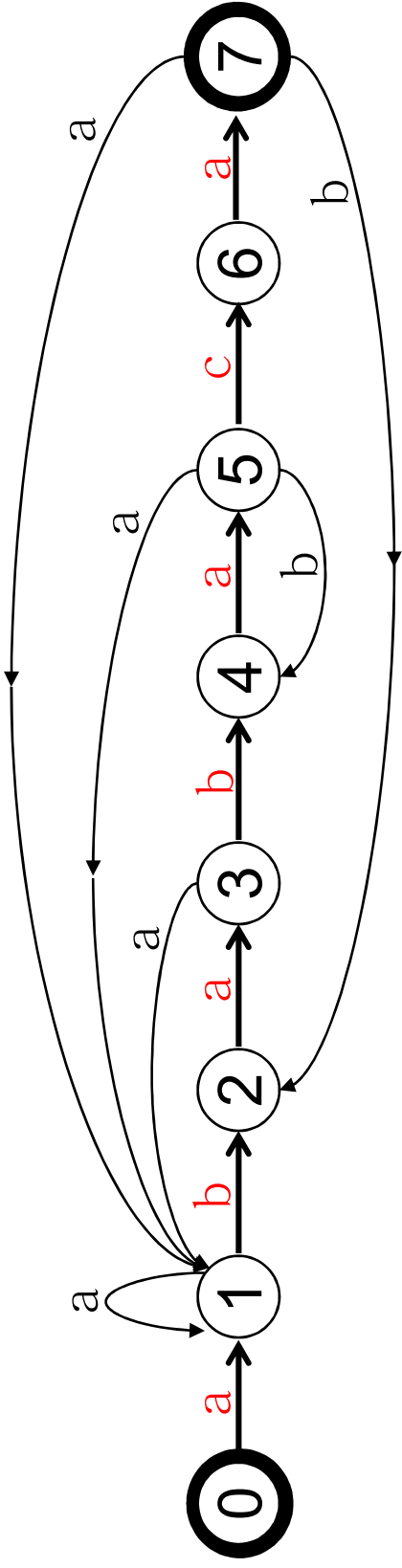
```
RabinKarp(A, P, d, q)
{
  ▷  $n$  : size of A[ ],  $m$  : size of P[ ]
   $p \leftarrow 0$ ;  $b_1 \leftarrow 0$ ;
  for  $i \leftarrow 1$  to  $m$  {
     $p \leftarrow (dp + P[i]) \bmod q$ ;
     $b_1 \leftarrow (db_1 + A[i]) \bmod q$ ;
  }
   $h \leftarrow d^{m-1} \bmod q$ ;
  for  $i \leftarrow 1$  to  $n-m+1$  {
    if ( $i \neq 1$ ) then  $b_i \leftarrow (d(b_{i-1} - hA[i-1]) + A[i+m-1]) \bmod$ 
       $q$ ;
    if ( $p = b_i$ ) then
      if ( $P[1 \dots m] = A[i \dots i+m-1]$ ) then
        there is a match at A[ $i$ ];
  }
}
```

✓ Average running time: $\Theta(n)$

Automata Based Matching

- Finite Automata
 - ▣ Finite symbols, states and transition
 - ▣ Five tuple: $(Q, q_0, A, \Sigma, \delta)$
 - Q : states
 - q_0 : start state
 - A : accepted states
 - Σ : input alphabet
 - δ : state transition diagram
- States represent a snapshot of matching process

Automata that checks **ababaca**



S: **dv**gan**bb**actabab**a**bab**aca**bab**aca**agbk...

Implementation of Automata

Input symbol

State	a	b	c	d	e	...	z
0	1	0	0	0	0	...	0
1	1	2	0	0	0	...	0
2	3	0	0	0	0	...	0
3	1	4	0	0	0	...	0
4	5	0	0	0	0	...	0
5	1	4	6	0	0	...	0
6	7	0	0	0	0	...	0
7	1	2	0	0	0	...	0



Input symbol

State	a	b	c	Else
0	1	0	0	0
1	1	2	0	0
2	3	0	0	0
3	1	4	0	0
4	5	0	0	0
5	1	4	6	0
6	7	0	0	0
7	1	2	0	0

Algorithm that checks matching using automata

```
FA-Matcher ( $A, \delta, f$ )  
▷  $f$ : accepted state  
{  
  ▷  $n$ : length of  $A[ ]$   
   $q \leftarrow 0$ ;  
  for  $i \leftarrow 1$  to  $n$  {  
     $q \leftarrow \delta(q, A[i])$ ;  
    if ( $q = f$ ) then we found a match at  $A[i-m+1]$ ;  
  }  
}
```

✓ Total running time: $\Theta(n + |\Sigma|m)$

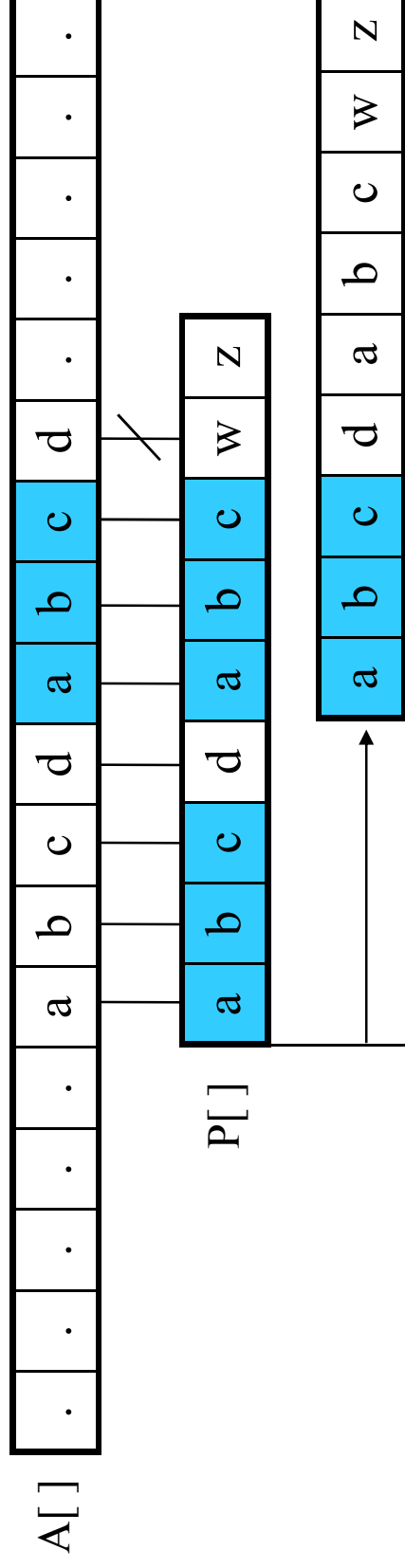
Compute Transition Function

```
Compute_Transition_Function(P,  $\Sigma$ )  
{  
  m  $\leftarrow$  |P|;  $\triangleright$  length of pattern  
  for q  $\leftarrow$  0 to m do  
    for each character a  $\in \Sigma$  do  
      k  $\leftarrow$  min(m+1, q+2);  
      repeat k  $\leftarrow$  k - 1  
      until P[1..k] is a suffix of P[1..q]a;  
       $\delta(q, a) \leftarrow$  k;  
}
```

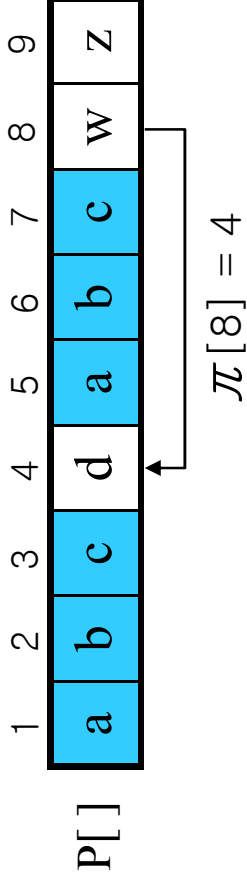
✓ Running time: $\Theta(|\Sigma|m)$

KMP (Knuth-Morris-Pratt) Algorithm

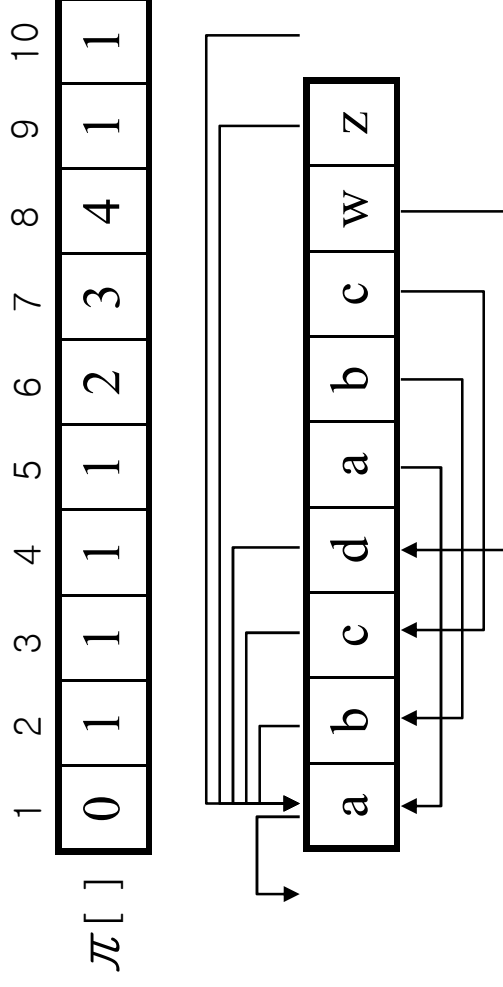
- Similar to automata based matching
- Commonalities
 - ▣ Prepare states for mismatch
 - ▣ Simpler than automata based match



Prepare return points for each mismatch



Matched upto “abcdabc”, failed at “w”
“abc” at 1,2,3 and “abc” at 5,6,7 are the same.
So, we compare mismatched text char with $P[4]$



For each index of pattern,
we prepare the return point.

KMP Algorithm

```
KMP(A[ ], P[ ])
{
    preprocessing(P);
     $i \leftarrow 1$ ;  $\triangleright$  index pointer of text
     $j \leftarrow 1$ ;  $\triangleright$  index pointer of pattern
     $\triangleright n$ : size of A[ ],  $m$ : size of P[ ]
    while ( $i \leq n$ ) {
        if ( $j = 0$  or  $A[i] = P[j]$ )
            then {  $i++$ ;  $j++$ ; }
            else  $j \leftarrow \pi[j]$ ;
        if ( $j = m+1$ ) then {
            There is a match at A[ $i-m$ ];
             $j \leftarrow \pi[j]$ ;
        }
    }
}
```

✓ Running time: $\Theta(n)$

Preprocessing

```
preprocessing(P)
{
  m ← |P|; ▷ length of pattern
  π[1] ← 0;
  k ← 0;
  for q ← 2 to m do
    while (k > 0) and (P[k+1] ≠ P[q]) do
      k ← π[k];
    if (P[k+1] = P[q]) then k ← k+1;
  π[q] ← k;
  return π;
}
```

✓ Running time: $\Theta(m)$